LEGISLATIVE COUNCIL REGULAR MEETING COUNCIL CHAMBERS, 3 PRIMROSE STREET, NEWTOWN, CT WEDNESDAY, SEPTEMBER 21, 2022

MINUTES

Board of Finance invited to attend.

PRESENT: *Legislative Council:* Jeffrey Capeci, Phil Carroll, William DeRosa, Charles Gardner, Chris Gardner, Dan Honan, Lisa Kessler, Michelle Embree Ku, Tom Long, Matthew Mihalcik *Board of Finance:* John Madzula, Chand Ahuja, Steven Goodridge, Laura Miller, Erica Sullivan, Sandy Roussas

ABSENT: Legislative Council: Angela Curi, Ryan Knapp

ALSO PRESENT: First Selectman Dan Rosenthal, Finance Director Bob Tait, Attorney David Grogins (via teleconference), Director of Planning and Zoning George Benson, Superintendent Chris Melillo, BOE Chair Deborra Zukowski, BOE Director of Finance Tanja Vadas, BOE Members Jennifer Larkin and Alison Plante (via teleconference); 1 public, 0 press

CALL TO ORDER: Mr. Capeci called the meeting to order with the Pledge of Allegiance at 7:32 pm.

VOTER COMMENT: None

MINUTES: Mr. Honan moved to accept the minutes of the September 7, 2022 Legislative Council Regular Meeting. Seconded by Mr. Carroll. All in favor. Motion passes (10-0).

COMMUNICATIONS: Mr. Capeci passed along the ballot questions for the Charter Revision which were sent to the Town Clerk and the Secretary of State for approval and will appear on the ballot in November.

COMMITTEE REPORTS: None

FIRST SELECTMAN'S REPORT: First Selectman Dan Rosenthal reported that he presented the recommended 5-year CIP to the BOS on Monday. Our overall municipal CIP is down because of Fairfield Hills waiting for historical credits. Debt on the municipal side is down so the overall debt forecast looks good. The First Selectman made some recommendations in year one where we should use capital and non-recurring instead of debt fund. There are some projects in year three that we need to work more on refining.

NEW BUSINESS

Mr. Mihalcik moved to amend the agenda to move the explanatory text item ahead of the BOE discussion only item. Seconded by Mr. Carroll. All in favor. Motion passes (10-0).

Discussion and Possible Action

• Charter Revision Ballot Questions Explanatory Text

Attorney Grogins presented his explanatory text (att). It is a brief summary of what was presented by the Charter Revision Commission with the caveat that they cannot advocate in any way. Theoretically it is our Town Clerk that prepares this text and he approves them. He is satisfied with the wording and made sure that it complies with the CT state statutes.

Discussion Only

Discussion of the Board of Education request to deposit funds into the Non-Lapsing Education Fund

Superintendent Melillo explained that, coming out of Covid, there are two pressing issues that the BOE would like to address. One is special education students not receiving evaluations or the services necessary throughout Covid. We will see an increase of services due to this. The State has also hit Newtown with a 5% decrease in funding for special education. The other issue is a capital piece – the BOE would like to take \$120,000 out of the account for the replacement of chillers at Reed School and out it towards the refurbishment of one of the chillers. These chillers are both on the CIP, so this change would remove one of them and leave one of them on the CIP. They would like to refurbish one of those chillers instead of taking money out of capital. Ms. Vadas confirmed it is a total of \$237,874 into capital. She presented a breakdown of non-lapsing fund balance and activity (att.). Superintendent Melillo stated that we will have between 25-30 years on the chiller after it is refurbished. First Selectman Rosenthal said this has to be voted on within 30 days. Due to the agenda item being listed as discussion only tonight, the First Selectman suggested that the BOF put this onto their agenda for tomorrow night's meeting. That way they can make the recommendation, and the Legislative Council can just vote on it at their next meeting. This would allow Mr. Tait to move forward with the audit. Mr. Madzula and Mr. Capeci asked if anyone of their board members had any issues or concerns, and the consensus was that both the BOF and the Legislative Council were unanimously in agreement to move forward with this transfer. They will move to vote on it at their next meetings in order to finalize.

Discussion and Possible Action

Newtown Fair Rent Commission Ordinance

Mr. Benson explained that the Legislature passed an act on April 22, 2022 that requires any town having a population of 25,000 people or more to have a Fair Rent Commission which has to be done through an ordinance. This needs to be done by the start of the next fiscal year, July 2023. (Att)

Mr. Charles Gardner moved to consider a Newtown Fair Rent Commission to the Ordinance Committee. Seconded by Mr. Mihalcik. All in favor. Motion passes (10-0).

Year End Transfers 2021-2022 FY

Mr. Tait presented the year end budget transfer requests for fiscal year 2021-2022 (att.). Most of the surpluses are due to vacant positions. The police is quite large due to retirees. The First Selectman said we had reduced the Building Department by one position but he left the money open if they needed to hire a part timer. The same is with the Town Clerk's office and Highway. There is no shortage on tree work. This sets aside some money that will carry over into next budget season. Mr. Benson spoke on Fairfield Hills money needed for the remedial work on soil. We've been monitoring wells to check the ground water for contamination, mostly due to herbicides. Mr. Long asked about rising gas prices. The First Selectman said that we did inflate fuel in the new budget so we may net out perfectly. Ms. Kessler asked if the High Meadow project is done every year. First Selectman Rosenthal stated that it is not something that is done every year. Ms. Ku asked if we can consider adding a line to the budget for staff turnover. Mr. Tait said that would not be good practice. The First Selectman said we have \$1.4 million in fund balance, plus what we're over in surplus puts us in a good position.

Mr. Charles Gardner moved that we approve the proposed Year End Transfers for the 2021-2022 fiscal year in the total amount of \$929,650.72 as detailed in the budget transfer request. Seconded by. Mr. Mihalcik.

Mr. Charles Gardner amended the motion to allow for minor adjustments as necessary by the Finance

Director. All in favor. Motion passes (10-0).

• 2023-24 Budget Calendar

Mr. Charles Gardner moved that we approve the 2023-2024 budget calendar. Seconded by Mr. Mihalcik. All in favor. Motion passes (10-0).

VOTER COMMENT: None

ANNOUNCEMENTS: None

ADJOURNMENT: There being no further business, Mr. Honan moved to adjourn the meeting at 8:56 pm. Seconded by Ms. Kessler. All in favor.

Respectfully submitted, Rina Quijano, Clerk

Attachments: Explanatory Text, BOE Non-Lapsing Fund Balance and Activity, Fair Rent Commissions Toolkit, 2021-2022 Year End Budget Transfers, 2023-2024 Budget Calendar

THESE MINUTES ARE SUBJECT TO APPROVAL BY THE LEGISLATIVE COUNCIL AT THE NEXT MEETING. ANY EDITS WILL BE REFLECTED IN THE MINUTES OF THE NEXT MEETING.

EXPLANATORY TEXT

Two questions on the ballot pertain to the Newtown charter. The two questions are independent and the outcome on one does not impact the outcome of the other. Each question can pass even if the other fails.

1.	QUESTION NO# 1: Shall the Charter be amended to provide that Section 2-125, subsections (a) & (b) "Board of Finance", be deleted from the Charter as an advisory
	•
	body, and all powers thereof to be vested in the Legislative Council. Further, that all
	references to the Board of Finance, including the powers and duties thereof, be deleted in
	their entirety?
	Yes No
	100
	EXPLANATION: Approval of Question #1 will eliminate the Board of Finance from the
	Charter as an advisory body. All powers of the Board of Finance would thereafter be
	vested in the Legislative Council. Any reference to the Board of Finance will also be
	eliminated.
2.	QUESTION NO# 2: Shall the remaining Charter Amendments adopted by the
	Legislative Council on August 17, 2022 be approved notwithstanding the results of
	Question No. 1 above?
	Yes No
	EXPLANATION: Approval of Question #2 accepts the rest of the proposed changes in
	their entirety, comprised of organizational, non-substantive, and substantive changes
	made to the document including but not limited to:
	a) Section 1-25(a)(7). This provision redefines the term "Town Department" as the term
	applies to the Board of Education by exempting the Board of Education from certain
	duties and functions of Town Bodies which would violate other provisions of the

b) Section 2-01(c). The Connecticut General Statutes shall prevail over the provisions of Section 2-01(c), which allows Town Bodies to make their own rules of conduct.

Connecticut General Statutes applicable to the Board of Education.

- c) Section 2-05(d)6 eliminates the Building Appeals Board from the Charter as does Section 2-15(d).
- d) Section 2-25(a) excuses members of a Town Body from voting on the Minutes of a previous meeting at which they were not in attendance.
- e) Section 2-31(c)(1-5) describes the procedure for filling vacancies on the Board of Education.

- f) Section 2-135(a) states Town Clerk shall also be the Registrar of Vital Statistics.
- g) Section 2-160(a) states Board of Police Commissioners shall also act as the Civilian Police Review Board.
- h) Section 2-210 eliminates the Building Appeals Board.
- i) Section 3-15(e) describes the election process for the Board of Education.
- j) Section 6-20 delineates the duties of the Legislative Council relative to the Budget.
- k) Section 6-20(f)(2) defines the process subsequent to a failed annual budget referendum
- 1) Section 6-35(b) & (d) revises the requests for emergency appropriations.
- m) Section 6-35(g) modifies the procedure for emergency and special appropriations.

BOE Non-Lapsing Fund Balance and Activity

	General	Reserved for		Annual	
<u>Year</u>	<u>Deposit</u>	Special Ed	Expense	<u>Balance</u>	<u>Use</u>
Trans from Cap non-recurring 7/2012	\$150,000		(\$139,690)	\$10,310	(a)
2013-14 Deposit 6/30/14	\$47,185	\$0	(\$1,800)	\$45,385	Fabricate metal doorway
2014-15 Deposit 6/30/15	\$12,909	\$0	(\$13,310)	(\$401)	Fabricate metal doorway + security bollards
2015-16 Deposit 6/30/16	\$2,533	\$0	(\$4,950)	(\$2,417)	IR scan
Trans from Cap non-recurring 7/2016	\$100,000			\$100,000	
2016-17 Deposit 6/30/17	\$97,942	\$0	(\$90,036)	\$7,906	4G Network
2017-18 Deposit 6/30/18	\$276,038	\$0	(\$9,358)	\$266,680	4G Network
2018-19 Deposit 6/30/19	\$265,772	\$63,000	(\$180,182)	\$148,590	HAW & MS A/C, SHS lightning protection
2019-20 Deposit 6/30/20	\$0	\$0	(\$55,113)	(\$55,113)	SHS lightning protection
2020-21 Deposit 6/30/21	\$6,861	\$20,377	(\$255,672)	(\$228,434)	Hawley architectural fees
2021-22 Deposit 6/30/22	\$237,879		(\$55,080)	\$182,799	Additional work on HS track. (glue)
Total Since Inception	\$959,240	\$83,377	(\$805,191)	\$475,305	

(a) \$150,000 was transferred into this account from the Town's Capital non-recurring fund to cover costs associated with the Middlegate roof and the HS stairwell gate

Current Balance Non-Lapsing Fund

\$475,305



Toolkit:

Fair Rent Commissions in Connecticut

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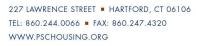
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Developed in collaboration with:











Introduction to Toolkit: Fair Rent Commissions in Connecticut



August 15, 2022

During the past year, residential rents have dramatically increased across the state. For more than 50 years, Connecticut towns have been authorized by state law to create fair rent commissions to address these very issues. Twenty-four towns already have such ordinances, many of them in place for decades. Such commissions are empowered to stop or delay an unconscionable rent increase and also to limit rent to a fair level when there are health or safety violations. Fair rent commissions have been proven to be an important municipal tool to prevent unreasonable rent increases and to buttress housing code enforcement.

In 2022, the Connecticut legislature passed Public Act 22-30, which requires each town with a population greater than 25,000 to adopt a fair rent commission ordinance in accordance with the Fair Rent Commission Act (C.G.S. 7-148b through 7-148f). While covered towns must adopt an ordinance by July 1, 2023, towns are free to act sooner, since existing law already encourages such commissions. The current spate of rent increases, many by out-of-state investors, illustrates the desirability of acting without delay. This toolkit was developed as a resource for those towns looking for guidance and best practices for adopting a fair rent commission ordinance. We anticipate this toolkit will be reviewed and updated periodically to provide the most up-to-date guidance regarding fair rent commissions in Connecticut.

The authors of this toolkit are available for consultation and technical assistance in the drafting, adoption, and implementation of your town's fair rent commission ordinance. Please feel free to reach out with questions regarding these matters.

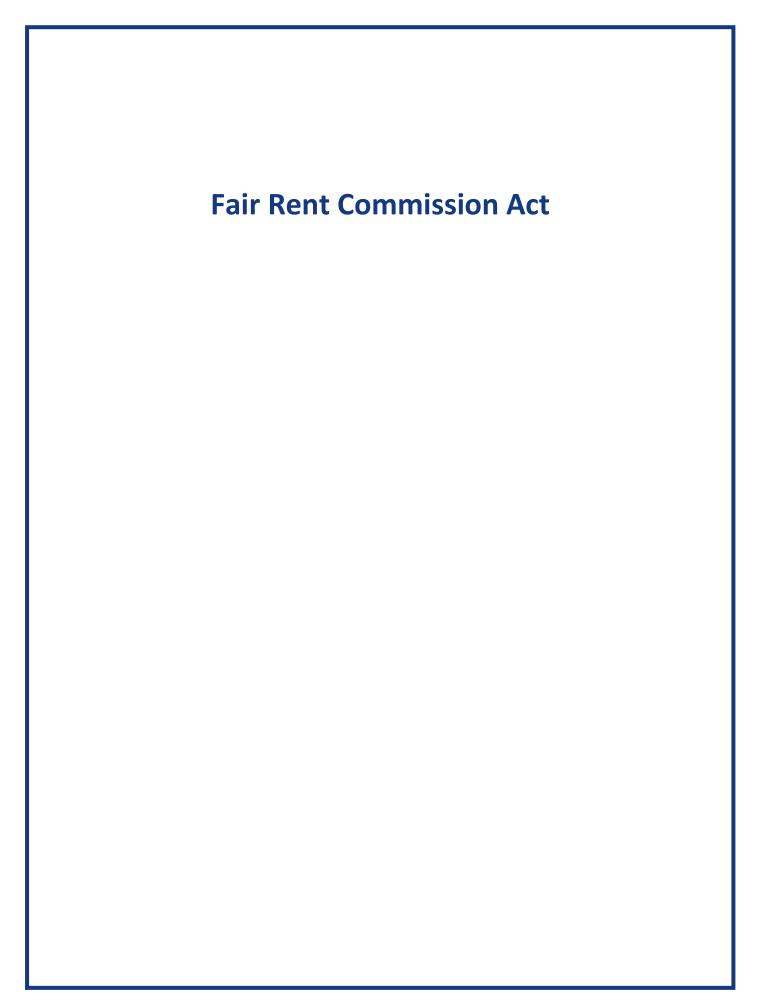
This toolkit was developed by HOMEConnecticut¹, with input provided by the Connecticut Conference of Municipalities. The following members of the Drafting Committee are available for consultation:

- Raphael Podolsky, Connecticut Legal Services, rpodolsky@ctlegal.org
- Sarah White, Connecticut Fair Housing Center, swhite@ctfairhousing.org
- Melissa Marichal Zayas, Connecticut Fair Housing Center, mmarichal@ctfairhousing.org

If you have questions about the toolkit, please reach out to Kayleigh Pratt at Kayleigh@pschousing.org.

¹ HOMEConnecticut, which is a campaign of the Partnership for Strong Communities, works to address Connecticut's affordable housing shortage with the goal to ensure that all Connecticut residents have access to a range of affordable housing choices in all communities in the state.







Fair Rent Commission Act P.A. 22-30

Last updated: 08.10.22

FAIR RENT COMMISSION ACT As amended through October 1, 2022 (Subsection headings added by editor)

Sec. 7-148b. Creation of fair rent commission. Powers.

- (a) **Definitions.** For purposes of this section and sections 7-148c to 7-148f, inclusive, "seasonal basis" means housing accommodations rented for a period or periods aggregating not more than one hundred twenty days in any one calendar year and "rental charge" includes any fee or charge in addition to rent that is imposed or sought to be imposed upon a tenant by a landlord.
- (b) **Powers.** Any town, city or borough may, and any town, city or borough with a population of twenty-five thousand or more, as determined by the most recent decennial census, shall, through its legislative body, adopt an ordinance that creates a fair rent commission. Any such commission shall make studies and investigations, conduct hearings and receive complaints relative to rental charges on housing accommodations, except those accommodations rented on a seasonal basis, within its jurisdiction, which term shall include mobile manufactured homes and mobile manufactured home park lots, in order to control and eliminate excessive rental charges on such accommodations, and to carry out the provisions of sections 7-148b to 7-148f, inclusive, section 47a-20 and subsection (b) of section 47a-23c. The commission, for such purposes, may compel the attendance of persons at hearings, issue subpoenas and administer oaths, issue orders and continue, review, amend, terminate or suspend any of its orders and decisions. The commission may be empowered to retain legal counsel to advise it.
- (c) **Report of adoption of ordinance.** Any town, city or borough required to create a fair rent commission pursuant to subsection (b) of this section shall adopt an ordinance creating such commission on or before July 1, 2023. Not later than thirty days after the adoption of such ordinance, the chief executive officer of such town, city or borough shall (1) notify the Commissioner of Housing that such commission has been created, and (2) transmit a copy of the ordinance adopted by the town, city or borough to the commissioner.
- (d) **Joint fair rent commissions.** Any two or more towns, cities or boroughs not subject to the requirements of subsection (b) of this section may, through their legislative bodies, create a joint fair rent commission.
- **Sec. 7-148c.** Considerations in determining rental charge to be excessive. In determining whether a rental charge or a proposed increase in a rental charge is so excessive, with due regard to all the circumstances, as to be harsh and unconscionable, a fair rent commission shall consider such of the following circumstances as are applicable to the type of accommodation:



- (1) **Rents of comparable dwelling units.** The rents charged for the same number of rooms in other housing accommodations in the same and in other areas of the municipality;
- (2) **Sanitary conditions.** The sanitary conditions existing in the housing accommodations in question;
- (3) **Plumbing facilities.** The number of bathtubs or showers, flush water closets, kitchen sinks and lavatory basins available to the occupants thereof;
 - (4) Services supplied. Services, furniture, furnishings and equipment supplied therein;
 - (5) **Bedrooms.** The size and number of bedrooms contained therein;
- (6) **Condition of the premises.** Repairs necessary to make such accommodations reasonably livable for the occupants accommodated therein;
- (7) **Landlord's costs.** The amount of taxes and overhead expenses, including debt service, thereof;
- (8) **Health and safety compliance.** Whether the accommodations are in compliance with the ordinances of the municipality and the general statutes relating to health and safety;
 - (9) **Income of tenant.** The income of the petitioner and the availability of accommodations;
 - (10) Utilities. The availability of utilities;
- (11) **Tenant-caused damage.** Damages done to the premises by the tenant, caused by other than ordinary wear and tear;
- (12) **Size and frequency of rent increase.** The amount and frequency of increases in rental charges;
- (13) **Reinvestment in property.** Whether, and the extent to which, the income from an increase in rental charges has been or will be reinvested in improvements to the accommodations.

Sec. 7-148d. Order for limitation on amount of rent. Suspension of rent payments. Cease and desist orders for retaliatory actions.

(a) **Commission orders after hearing.** If a commission determines, after a hearing, that the rental charge or proposed increase in the rental charge for any housing accommodation is so excessive, based on the standards and criteria set forth in section 7-148c, as to be harsh and unconscionable, it may order that the rent be limited to such an amount as it determines to be fair and equitable. If a commission determines, after a hearing, that the housing accommodation in question fails to comply with any municipal ordinance or state statute or regulation relating to health and safety, it may order the suspension of further payment of rent by the tenant until such time as the landlord makes the necessary changes, repairs or installations so as to bring such housing accommodation into compliance with such ordinance, statute or regulation. The rent during said period shall be paid to the commission to be held in escrow subject to ordinances or provisions adopted by the town, city or borough.

- (b) **Retaliation.** If the commission determines, after a hearing, that a landlord has retaliated in any manner against a tenant because the tenant has complained to the commission, the commission may order the landlord to cease and desist from such conduct.
- **Sec. 7-148e.** Appeal. Any person aggrieved by any order of the commission may appeal to the superior court for the judicial district in which the town, city or borough is located. Any such appeal shall be considered a privileged matter with respect to the order of trial.
- **Sec. 7-148f. Penalty for violations.** Any person who violates any order of rent reduction or rent suspension by demanding, accepting or receiving an amount in excess thereof while such order remains in effect, and no appeal pursuant to section 7-148e is pending, or violates any other provision of sections 7-148b to 7-148e, inclusive, and section 47a-20, or who refuses to obey any subpoena, order or decision of a commission pursuant thereto, shall be fined not less than twenty-five dollars nor more than one hundred dollars for each offense. If such offense continues for more than five days, it shall constitute a new offense for each day it continues to exist thereafter.





Fair Rent Commission Model Ordinance

Last updated: 08.10.22

Fair Rent Commission Model Ordinance

Section 1. Creation of Fair Rent Commission

- (a) Pursuant to and in conformity with C.G.S. §§ 7-148b through 7-148f, 47a-20 and 47a-23c, there is hereby created a Fair Rent Commission ("Commission") for the purpose of controlling and eliminating excessive rental charges for housing accommodations within the town, and to carry out the purposes, duties, responsibilities and all provisions of the above described sections and any other sections of the statutes, as they may be amended from time to time, pertaining to fair rent commissions.
- (b) The Commission shall consist of seven (7) members and three (3) alternates, all of whom shall be residents of the [Town/City of ______]. Of the seven (7) regular members, at least two (2) shall be landlords and two (2) shall be tenants. Among the alternate members, at least one (1) shall be a landlord and one (1) shall be a tenant.
 - The members and alternates shall be appointed by the [Town Council/Mayor]. A quorum shall consist of four (4) members or seated alternates. Members of the commission shall serve without compensation.
- (c) Members of the Commission shall be appointed for staggered terms of four (4) years. Vacancies on the Commission shall be filled, within a reasonable time, in the manner of original appointment for the unexpired portion of the term. Any member of the Commission may be reappointed in the manner of original appointment.

Section 2. Powers of the Commission

- (a) The Commission's powers shall include the power to:
 - (1) Receive complaints, inquiries, and other communications concerning alleged excessive rental charges and alleged violations, including retaliation, of C.G.S. §§ 7-148b to 7-148f, inclusive, C.G.S. § 47a-20, C.G.S. 21-80a and C.G.S. § 47a-23c in housing accommodations, except those accommodations rented on a seasonal basis, within its jurisdiction, which jurisdiction shall include mobile manufactured homes and mobile manufactured home park lots. "Seasonal basis" means housing accommodations rented for a period or periods aggregating not more than 120 days in any one calendar year. "Rental charge" includes any fee or charge in addition to rent that is imposed or sought to be imposed upon a tenant by a landlord, and includes any charge that is already in effect;



- (2) Make such studies and investigations regarding rental housing within the [town/city] as are appropriate to carry out the duties and responsibilities delegated hereunder, and subject to the terms, limitations and conditions set forth herein;
- (3) Conduct hearings on complaints or requests for investigation submitted to it by any person, subject to the terms, limitations and conditions as set forth herein;
- (4) Compel the attendance of persons at hearings, issue subpoenas and administer oaths, issue orders and continue, review, amend, terminate or suspend any of its orders and decisions;
- (5) Determine, after a hearing as set forth herein, whether or not the rent for any housing accommodation is so excessive as to be harsh and unconscionable;
- (6) Determine, after a hearing as set forth herein, whether the housing accommodation in question fails to comply with any municipal ordinance or state statute or regulation relating to health and safety;
- (7) Determine, after a hearing as set forth herein, whether a landlord has engaged in retaliation in violation of Section 6 below and make such orders as are authorized herein;
- (8) Order a reduction of any excessive rent to an amount which is fair and equitable, and make such other orders as are authorized herein;
- (9) Order the suspension or reduction of further payment of rent by the tenant until such time as the landlord makes the necessary changes, repairs or installations so as to bring such housing accommodation into compliance with any municipal ordinance or state statute or regulation relating to health and safety;
- (10) Establish an escrow account with a local bank or financial institution into which it shall deposit all rent charges or other funds paid to it pursuant to Section 5 herein; and
- (11) Carry out all other provisions of C.G.S. §§ 7-148b to 7-148f, inclusive, C.G.S. § 47a-20, 21-80a and C.G.S. § 47a-23c as now existing and as hereinafter amended, as they apply to fair rent commissions.

Section 3. Determination of Excessive Rent

- (a) In determining whether a rental charge or a proposed increase in a rental charge is so excessive, with due regard to all the circumstances, as to be harsh and unconscionable, the Commission shall consider such of the following circumstances as are applicable to the type of accommodation:
 - (1) The rents charged for the same number of rooms in other housing accommodations in the same and in other areas of the municipality;
 - (2) The sanitary conditions existing in the housing accommodations in question;

- (3) The number of bathtubs or showers, flush waste closets, kitchen sinks and lavatory basins available to the occupants thereof;
- (4) Services, furniture, furnishings and equipment supplied therein;
- (5) The size and number of bedrooms contained therein;
- (6) Repairs necessary to make such accommodations reasonably livable for the occupants accommodated therein;
- (7) The amount of taxes and overhead expenses thereof;
- (8) Whether the accommodations are in compliance with the ordinances of the [town/city] and the General Statutes of the State of Connecticut relating to health and safety;
- (9) The income of the petitioner and the availability of accommodations;
- (10) The availability of utilities;
- (11) Damages done to the premises by the tenant, caused by other than ordinary wear and tear;
- (12) The amount and frequency of increases in rental charges; and
- (13) Whether, and the extent to which, the income from an increase in rental charges has been or will be reinvested in improvements to the accommodations.

Nothing in this section shall preclude the Commission from considering other relevant circumstances.

(b) The rent of a tenant protected by C.G.S. § 47a-23c who files a complaint with the Commission pursuant to C.G.S. § 47a-23c(c)(2) may be increased only to the extent that such increase is fair and equitable, based on the criteria set forth in C.G.S. § 7-148c.

Section 4. Procedures and Hearing on Complaints

(a) Upon the filing of a complaint, the Commission shall promptly notify all parties in writing of the receipt of the complaint. Such notice shall also inform the parties that the landlord is prohibited from retaliating against the tenant due to the filing of the complaint. It shall also inform the parties that, until a decision on the complaint is made by the Commission, the tenant's liability shall be for the amount of the last rent prior to the increase complained of or, if there is no such increase, the last agreed-upon rent, and that an eviction based upon non-payment of rent cannot be initiated against a tenant who continues to pay the last agreed-upon rent during the pendency of the fair rent commission proceeding.

- (b) If a complaint alleges housing conditions that violate a housing, health, building or other code or statute, the Commission shall notify the appropriate municipal office or agency, which may then concurrently exercise its own powers. In addition, the Commission may request that the appropriate municipal official or agency promptly investigate and provide a report to the Commission.
- (c) If two or more complaints are filed against the same landlord by tenants occupying different rental units in the same building, complex, or mobile home park that appear to raise the same or similar issues, the Commission may consolidate such claims for hearing.
- (d) The Commission or municipal staff may, to the extent practicable, encourage the parties to the complaint to reach a mutually satisfactory resolution through informal conciliation. Municipal staff may serve as informal conciliators. Any agreement to resolve the complaint shall be in writing and signed by the parties.
- (e) A hearing on the complaint shall be scheduled no later than thirty (30) days after the filing of the complaint, unless impracticable. Written notice of the date, time, and place of the hearing shall be given to the parties to the complaint at least ten (10) days prior to the hearing by first class and certified mail and, if practicable, by electronic mail.
- (f) All parties to a hearing shall have the right to be represented, to cross-examine witnesses, to examine documents introduced into evidence, and to call witnesses and introduce evidence. The testimony taken at a hearing shall be made under oath. Hearings shall be recorded.
- (g) In the event that there is insufficient time to complete a hearing or for other cause, the Commission shall have the power to adjourn the hearing to another time and date.
- (h) No sale, assignment, transfer of the housing accommodation in question or attempt to evict the tenant shall be cause for discontinuing any pending proceeding nor shall it affect the rights, duties and obligations of the Commission or the parties.

Section 5. Rent Reduction Order and Repairs

- (a) The Commission shall render its decision at the same meeting at which the hearing on the complaint is completed or within thirty (30) days following such date, unless impracticable. In accordance with the state Freedom of Information Act, both the hearing itself and the deliberation by the Commission shall be open to observation by the public. Until a decision on the complaint is made by the Commission, the tenant's liability shall be for the amount of the last rent prior to the increase complained of or, if there is no such increase, the last agreed-upon rent.
- (b) If the Commission determines after a hearing that the rental charge or proposed increase in the rental charge for any housing accommodation is so excessive, based on the standards and criteria set forth in Section 3, as to be harsh and unconscionable, it may order that the rent be limited to such an amount as it determines to be fair and equitable, effective the month in which the tenant filed the complaint. A Commission's orders may include, but are not limited to, a reduction in a rental charge or proposed rent increase; a delay in an increased rental charge

- until specified conditions, such as compliance with municipal code enforcement orders, have been satisfied; or a phase-in of an increase in a rental charge, not to exceed a fair and equitable rent, in stages over a period of time. Commission orders shall be effective for at least one (1) year from the date of issuance, unless the Commission otherwise orders.
- (c) If the Commission determines after a hearing that a housing accommodation fails to comply with any municipal ordinance or state statute or regulation relating to health and safety, the Commission may order the suspension or reduction of further payment of rent by the tenant until such time as the landlord makes the necessary changes, repairs or installations so as to bring the housing accommodation into compliance with such laws, statutes, or regulations. If the Commission's order constitutes a complete suspension of all rent, the rent during such period shall be paid to the Commission to be held in escrow subject to such ordinances or provisions as may be adopted by the town, city or borough. Upon the landlord's full compliance with such ordinance, statute or regulation for which payments were made into such escrow, the Commission shall determine after hearing such distribution of the escrowed funds as it deems appropriate.

Section 6. Retaliation

- (a) No landlord shall engage in retaliatory actions. Retaliatory actions by a landlord include but are not limited to the following:
 - (1) Engaging in any action prohibited by C.G.S. § 47a-20 or § 21-80a within six months after any event listed in such statutes, including but not limited to within six months after the tenant has filed a complaint with the Commission;
 - (2) Refusing to renew the lease or other rental agreement of any tenant; bringing or maintaining an action or proceeding against the tenant to recover possession of the dwelling unit; demanding an increase in rent from the tenant; decreasing the services to which the tenant has previously been entitled; or verbally, physically or sexually harassing a tenant because a tenant has filed a complaint with the fair rent commission;
 - (3) Engaging in any other action determined by the Commission, after a hearing, to constitute landlord retaliation as set forth in C.G.S. 7-148d(b).
- (b) In the initial notice scheduling a hearing or conciliation on a complaint, and in its notice of decision, the Commission shall include notice, in plain language, to landlords and tenants that retaliatory actions against tenants are prohibited.
- (c) Any tenant who claims that the action of his or her landlord constitutes retaliatory action may file a notice of such claim with the Commission. If the Commission determines, after a hearing, which hearing shall be expedited, that a landlord has retaliated in any manner against a tenant because the tenant has complained to the Commission, the Commission may order the landlord to cease and desist from such conduct and order the landlord to withdraw or remediate such conduct as has already occurred.

Section 7. Appeals

Any person aggrieved by any order or decision of the Commission may appeal to the Superior Court within thirty (30) days of the issuance of the written notice of the decision to the parties. Such notice shall include notice of the right to appeal, the court to which an appeal may be taken, and the time in which an appeal must be filed. Unless otherwise directed by the Commission or the court, the filing of an appeal shall not stay any order issued by the Commission.

Section 8. Failure to Comply with Commission Orders

- (a) Any person who violates any order of rent reduction or rent suspension by demanding, accepting or receiving an amount in excess thereof while such order remains in effect, and no appeal pursuant to § 7-148e is pending, or who violates any other provision of this chapter or C.G.S. § 47a-20 or 21-80a or who refuses to obey any subpoena, order or decision of the Commission pursuant thereto shall be fined not less than \$25 nor more than \$100 for each offense. If such offense continues for more than five days, it shall constitute a new offense for each day it continues to exist thereafter.
- (b) The Commission, in its own name or through the municipality, may bring a civil action to any court of competent jurisdiction or take any other action in such a court to enforce any order of the Commission made pursuant to this subchapter, or to enjoin a violation or threatened violation of any order of the Commission.

Annotations to Fair Rent Commission Model Ordinance



Annotations to Fair Rent Commission Model Ordinance

Last updated: 08.10.22

The Fair Rent Commission Model Ordinance is intended to be a "best practices" guide for towns adopting their own ordinances. All fair rent commission ordinances must comply with the requirements of the Fair Rent Commission Act (C.G.S. §§ 7-148b through 7-148f), but that act leaves room for procedural variations among the towns. For example, it does not designate the number of members a fair rent commission is to have.

Based on our knowledge and experience with fair rent commission ordinances and practices, the Model Ordinance incorporates both statutory requirements and supplementary provisions we believe are beneficial for a fair rent commission carrying out its duties under the act. This Annotation explains why particular provisions are required or recommended. The Annotation follows the section numbers in the Model Ordinance and should be read in conjunction with that document.

Section 1. Creation of Fair Rent Commission

- Statute: C.G.S. § 7-148b(b)
- <u>Subsection (a)</u>: This subsection enacts the ordinance and broadly states the purpose of the commission. The phrase "to control and eliminate excessive rental charges" comes from C.G.S. § 7-148b(b). The definitions in C.G.S. § 7-148b(a) can be found in Section 2(a)(1) of the Model Ordinance. The statute leaves membership, terms, appointing authority, and similar matters to the municipality to determine. Those can be found in Subsection (b) of this section.
- Subsection (b):
 - Number of members and alternates: With one exception, all existing commissions have either five (12 commissions), seven (7 commissions), or nine (4 commissions) members. The Model is written for seven members and three alternates as the best size for a commission. It may be easier for a town to fill all slots in a smaller commission, but a seven-member commission makes it easier to deal with member absences. As is common, the Model requires that all commission members be residents of the municipality and that they serve without compensation.
 - Landlord/tenant distribution: The Model recommends that a seven-member commission include at least two landlords and two tenants and that alternates include at least one landlord and one tenant. The remaining members of the commission could be landlords, tenants, or others, meaning a person who is neither a landlord nor a tenant, i.e., a single-family homeowner who is not a landlord. For a five-member commission, a minimum of at least one landlord and one tenant is recommended.

The existing ordinances vary widely in how – or whether – membership among landlords, tenants, and others is explicitly balanced. The Model is intended to provide towns with flexibility but also to ensure that some members will bring their perspective as either a landlord or a tenant. The requirements for membership adopted by existing ordinances include:



- Equal number of landlords and tenants: This could be a specific number of each or simply a requirement that the number be equal. Since all commissions have an odd number of members, this approach effectively results in at least one member of the commission being neither a landlord nor a tenant.
- Minimum number of landlords and tenants: This approach assures that some minimum number of members will be either a landlord or a tenant. It does not require exact equality of membership between landlords and tenants and does not preclude all commission members being landlords, tenants, or others.
- <u>No minimum</u>: This alternative has no minimum requirements for either participation or balance, i.e., the members of the commission, without restriction, may be landlords, tenants, or others.
- One commission (New Haven) requires a minimum number of tenants with no minimum requirement for landlords.
- Appointing authority: The appointing authority is ordinarily the Mayor or the municipality's legislative body. The Model expresses no preference as to this choice.
- <u>Model Subsection (c) Terms</u>: The Model recommends four-year terms, with initial appointments staggered. The most common terms under existing ordinances are two, three, or four years. The Model recommends four-year terms for greater stability.

Section 2. Powers of the Commission

- Statute: C.G.S. §§ 7-148b, 7-148c, and 7-148d
- <u>Summary</u>: The Model identifies eleven categories of powers based on the statute and various existing ordinances. The list is not exclusive, and commissions have other powers ancillary to their functions, similar to other municipal boards.
- Subpart (1) Range of powers:
 - Ommission jurisdiction: The Model Ordinance follows C.G.S. § 7-148b in excluding only what the statute calls "seasonal" rentals of 120 days or less. Based on the statutory definition, this exclusion is not really "seasonal" but rather "short-term," i.e., units that are rented out for no more than 120 days per year. These are the only rentals that the Fair Rent Commission Act authorizes an ordinance to exclude from commission jurisdiction, and that is in fact the rule followed by most existing ordinances. Commission jurisdiction regarding C.G.S. §§ 47a-20 (retaliatory conduct) and 47a-23c (seniors and persons with disabilities in buildings and complexes with five or more units) is specifically referenced in C.G.S. § 7-148b(b). C.G.S. § 21-80a is the companion retaliation statute to § 47a-20 in mobile home parks. Mobile home parks are explicitly included in the Fair Rent Commission Act under C.G.S. § 7-148b.
 - <u>Definitions</u>: The definitions of "seasonal basis" and "rental charge" are taken from C.G.S. § 7-148b(a), which makes clear that a "rental charge" does not have to be a new or increased rental payment and that complaints can be based on a reduction in services or substandard conditions.
 - Subparts (2) through (11) Explicit powers: This is a listing of powers commonly exercised by fair rent commissions.

Section 3. Determination of Excessive Rent

• <u>Statute</u>: C.G.S. §§ 7-148c and 47a-23c

- <u>Subsection (a) Statutory factors</u>: This subsection lists the statutory "circumstances" (i.e., factors or criteria) the commission must consider if they "are applicable to the type of accommodation." The Model makes explicit that other applicable circumstances can be considered if relevant.
 - Interpretation of factors: The Model Ordinance recites the exact statutory language of the factors. Not all factors are necessarily of equal importance, and the significance of various factors depends on the individual case. The statute was written in 1969 and some factors may feel dated, but commissions commonly apply a common sense meaning. The factors are often interrelated, and it may be helpful to group them as follows:
 - Size and history of rent increases (Item #12);
 - Landlord operating costs (Item #7);
 - Condition of the premises, including whether it is substandard (Items #2, #6, #8, #11, and #13);
 - Comparable rents in the neighborhood and municipality (Item #1);
 - Facilities and services included in the rent (#3, #4, #5, and #10);
 - The tenant's income and the availability of alternative housing (Item #9).
 - Relationship to C.G.S. § 7-148d: Under C.G.S. § 7-148d(a), these same factors are used by the commission to set a fair and equitable rent.
 - Relationship to commission decision-making: Section 5(b) of this Annotation identifies common commission decisions and orders that arise from the application of these factors.
- Subsection (b) Complaints under § 47a-23c: This subsection makes explicit that these same 13 factors are applied in complaints originating under C.G.S. § 47a-23c, which protects elderly and disabled tenants in buildings with five or more units and allows their rents to be increased "only to the extent that such increase is fair and equitable, based on the criteria set forth in section 7-148c." It also specifically authorizes such tenants to bring a complaint to their local fair rent commission.

Section 4. Procedures and Hearing on Complaints

- <u>Subsection (a) Immediate notice</u>: The Model requires that the initial notice upon the filing of the complaint inform the parties that retaliation is prohibited. It also states that the tenant can continue to pay the last agreed-upon rent (or the last rent before a disputed rent increase) and that a landlord cannot initiate or maintain eviction proceedings against a tenant for non-payment of rent or lapse of time who continues to pay this rent while the complaint is pending. This is an important requirement that addresses the problem of landlords attempting to avoid commission jurisdiction or discourage tenant complaints by trying to evict tenants. The commission has the power to prevent retaliatory conduct, and notice is critical to deter retaliation. While the statute is silent as to what rent is to be paid, substantive law is clear that "rent" cannot be set unilaterally but only through an agreed-upon contract. The filing of the complaint inherently demonstrates the absence of agreement.
- <u>Subsection (b) Housing code violations</u>: This subsection incorporates the common practice of commissions requesting code inspections and reports by the municipality's relevant agency if the tenant's complaint claims code-related violations or problems with conditions as a reason for objecting to the rental charge. The code agency will typically conduct an inspection; issue corrective orders to the landlord under the agency's own authority, if appropriate; and notify

- the commission of the result of the inspection, of any orders that have been issued, and of compliance with such orders if it occurs.
- <u>Subsection (c) Consolidation of complaints for hearing</u>: This subsection incorporates the
 common practice of consolidating complaints for a hearing when multiple tenants in the same
 complex file complaints that appear to raise similar issues (e.g., the same rent increase
 demanded from many units or shared problems with conditions). The commission may continue
 to treat complaints individually even if the hearings are consolidated. This practice encourages a
 more efficient presentation of evidence to the commission and minimizes redundancy.
- <u>Subsection (d) Conciliation</u>: This subsection incorporates the common practice of attempting to resolve complaints by the agreement of the parties prior to a hearing through informal conciliation. The Model makes explicit that conciliation is appropriate and encouraged, including through participation of municipal staff.
- <u>Subsection (e) Timing of hearings</u>: This subsection requires that a hearing on the complaint be scheduled within 30 days of the filing of the complaint unless impracticable. This benefits both the tenant and the landlord by minimizing the pendency period. It also encourages the code agency to promptly carry out its inspection and produce at least an initial report, and for the parties to at least begin informal conciliation. Nothing precludes a hearing from being continued or rescheduled if sufficient information is not yet available. The Model also requires ten days' notice of the hearing, using both first-class and certified mail as well as email, if practicable. The use of multiple methods of notice increases the likelihood that the parties receive the notice.
- <u>Subsection (f) Hearing procedure</u>: The procedures in this subsection are common practice among existing commissions. Hearings do not have the formality or rigidity of a court hearing, but it is important that they be orderly, that all parties are heard, and that an adequate record is retained.
- <u>Subsection (g) Continuances</u>: The ability to continue the hearing is necessary when information is incomplete or more time is needed for a decision.
- <u>Subsection (h) Transfer of the property</u>: The Model makes explicit that a landlord cannot avoid commission jurisdiction by transferring title. The commission's decision concerning the complainant will apply to the new owner. The landlord also cannot avoid commission jurisdiction by attempting to evict the tenant. The filing of an eviction action does not deprive the commission of jurisdiction or prevent the commission from asserting jurisdiction.

Section 5. Rent Reduction Order and Repairs

- Statute: C.G.S. § 7-148d(a)
- Subsection (a) Determination that rent is excessive
 - o <u>Time to render decision</u>: This section clarifies that a commission can, but is not required to, render its decision at the same commission meeting as the hearing. Commissions commonly do this if the hearing provides all information needed to decide. The memories of commission members are fresh, and all members who have heard the evidence are present. The commission otherwise has 30 days to decide. The time is measured from the date of the completion of the hearing. If the commission is waiting for additional information, it should continue the hearing, and the 30 days will not begin to run until the continued hearing is completed.

- Open meetings: The state Freedom of Information Act (C.G.S. § 1-200 et seq.) applies to municipal agencies. Both the hearing portion of the meeting and the commission's deliberations must be open to the public.
- Rent liability: The Model limits tenant liability to the last rent prior to the increase complained of or, if the excessive rental charge is not an increase, to the last agreed-upon rent. Holding the tenant liable for a larger amount that was not agreed upon creates a difficult situation for the tenant and discourages complaints. If the tenant loses, the tenant will be liable for the increase going forward. If the tenant wins, the decision is effective retroactive to the month in which the tenant filed the complaint.

• Subsection (b) – Reduction of rent orders

- Effective date of rent reduction order: Upon a finding of harsh and unconscionable rent, the statute directs the commission to set a rent that is fair and equitable. The Model makes the order retroactive to the month in which the tenant filed the complaint.
- Common decisions and orders: To help commissioners understand the kind of orders that can be issued other than a denial of the complaint, the Model identifies some of the most common ones as examples: a reduction in the rental charge, a delay of a rental increase pending correction of defective conditions, or a phase-in of a rental increase. Note that under the statute (and therefore under the Model ordinance), the commission's jurisdiction is not limited to rent increases but rather to any "rental charge." There are at least two types of situations in which the commission may find a rental charge unconscionable, even though it is not a rental increase. One is a reduction in services, such as when a service previously paid by the landlord (e.g., electricity) is transferred to the tenant. The second is when the landlord's failure to repair defective conditions or adequately maintain the property devalues the rental so as to make the existing rental unconscionable. Other situations may arise as well.
- Ouration of commission orders: The Model adopts the best practice of specifying a duration for commission orders, which the commission can modify in particular cases. The Model recommends one year, which is the most commonly set duration. This means that a rent reduction will last for one year. Nothing precludes the landlord from seeking a modification sooner, but, after one year, the landlord need not return to the commission to propose a rent increase. A tenant who objects to an increase would have to file a new complaint with the commission.
- Written decisions: Although a commission decision may initially be made orally on motion, it should always be reduced to a written decision, with at least a brief statement of the reasons.

• Subsection (c) – Correction of code violations and escrow payments

- Suspension or reduction of rent payments: If the commission finds after hearing that the property fails to comply with state or local health and safety codes, statutes, or regulations, it can reduce or suspend the rent until the landlord complies. Such an order can be part of an interim or a final decision. A commission order can be based on an order of a code enforcement agency, but a code enforcement agency order is not required. A commission order can also be based on evidence it receives at its own hearing.
- Escrowing of payments: The Fair Rent Commission Act, and therefore the Model
 Ordinance, requires the escrow of rent payments to the municipality only in limited
 circumstances. Escrow payments are required only if the commission orders the
 suspension of <u>any</u> further payment of rent. Escrow is not required if the rent is reduced
 rather than suspended while the landlord brings the property into compliance with

codes. If the rent is reduced, the amount should be what the commission determines is fair and equitable. In practice, most commissions have been reluctant to assume responsibility for the receipt and management of escrow payments and are more likely to reduce rather than suspend rent. A rent reduction avoids the statute's escrow requirement, however, since escrow is only required if the obligation to pay the landlord is also suspended. If escrowing is not ordered, the tenant pays the amount ordered to the landlord rather than to the commission.

Payout of escrowed payments: If payments are escrowed, the commission should order the distribution of the escrowed funds once the landlord fully brings the property into compliance with codes as ordered by the commission. Escrowed funds can be released to the landlord, the tenant, or divided between them as the commission determines is equitable in light of the circumstances.

Section 6. Retaliation

- Statute: C.G.S. §§ 7-148b(b), 7-148d(b), 7-148f, 47a-20, and 21-80a
- <u>Subsection (a) Retaliatory actions</u>: The Fair Rent Commission Act explicitly gives the commission authority to act on complaints of retaliation because of the filing of a complaint to the commission or under C.G.S. § 47a-20. C.G.S. § 21-80a is the equivalent of § 47a-20 for residents in mobile home parks, which are covered by the Fair Rent Commission Act pursuant to C.G.S. § 7-148b(b). The Model ordinance spells out retaliation in more detail:
 - Engaging in any action prohibited by C.G.S. §§ 47a-20 or 21-80a: These statutes do not require retaliatory motive but instead bar certain actions for six months after the occurrence of one of five trigger events:
 - A good faith attempt by the tenant to remedy any condition violating health or safety codes or violation of any other state statute, explicitly including the filing of a complaint with a fair rent commission;
 - The filing by a municipal agency or official of any notice, complaint, or order regarding a violation;
 - A good faith request by the tenant to the landlord to make repairs;
 - A good faith institution by the tenant of a Housing Code Enforcement Act action under C.G.S. § 47a-14h; or
 - The tenant's organizing or joining a tenants' union.

Under the wording of C.G.S. §§ 47a-20 and 21-80a, these statutes apply to any good faith complaint to a fair rent commission, and it is not necessary for the complaint to be related to code violations.

- Refusing to renew the lease, bringing an eviction, raising the rent, reducing services, or harassing the tenant because the tenant filed a complaint with the commission.
- Engaging in any other action determined by the commission, after a hearing, to violate
 C.G.S. § 7-148d.
- <u>Subsection (b) Notice concerning retaliation</u>: The Model Ordinance requires both the notice of a hearing or conciliation and the notice of the decision to include the prohibition against retaliation.
- <u>Subsection (c) Commission jurisdiction</u>: The Model Ordinance explicitly authorizes the tenant to notify the commission of retaliation and to request relief. The tenant does not need to initiate a new proceeding but can raise the issue during the complaint process or, as part of the case, after the commission's order on the original fair rent complaint has been issued. The

commission can also act to prevent retaliation, even if the tenant has not prevailed in the action before the commission. A cease-and-desist order issued by the commission can include the landlord's withdrawing or remediating the challenged retaliatory conduct.

Section 7. Appeals

• Statute: C.G.S. § 7-148e

- <u>Time for appeal</u>: The statute authorizes appeals to the Superior Court but does not impose a time limit on taking an appeal, leaving unclear what the time limit is. The Model Ordinance provides a limit of 30 days, measured from the date of the written notice. While many commission decisions will initially be made orally at the hearing, the parties will not necessarily be present, nor will the reasons for the decision be stated. Parties cannot be expected to take an appeal without a formal notice. The Model requires that the notice of decision also include information about the right to appeal.
- Rental liability during an appeal: The issuance of a decision by the commission, as a practical
 matter, changes the presumption as to what amount of rent the tenant should be paying during
 further proceedings. The Model Ordinance incorporates the rule that the commission's decision
 is effective during an appeal, unless the commission itself or the court to which the decision has
 appealed issues a contrary order.

Section 8. Failure to Comply with Commission Orders

• Statute: C.G.S. § 7-148f

- <u>Subsection (a) Criminal penalties</u>: This subsection is taken directly from C.G.S. § 7-148f. For consistency with C.G.S. § 47a-20, C.G.S. § 21-80a is added.
- <u>Subsection (b) Civil remedies</u>: This subsection makes clear that the commission, in its own name or through the municipality, can seek to enforce its orders civilly.



Fair Rent Commission Factsheet



Last updated: 08.10.22

What is a Fair Rent Commission (FRC)?

It is a municipal board with the primary power to restrict rental charges in residential housing that are "so excessive as to be harsh and unconscionable." It holds hearings and makes decisions in response to tenant complaints in the same way as other municipal boards.

What does P.A. 22-30 do?

It requires each town with a population greater than 25,000 to adopt a fair rent commission ordinance in accordance with the Fair Rent Commission Act (C.G.S. 7-148b through 7-148f).

What standards does a FRC apply?

C.G.S. 7-148c lists 13 standards that must be considered if applicable. The most important are size of the rent increase, the landlord's operating costs, the condition of the premises, and the rents for comparable housing in the town.

What are the most common FRC decisions?

- A rent increase is reduced or denied.
- The landlord is required to phase in a rent increase.
- A rent increase is delayed until the landlord has complied with health and safety requirements or has made necessary repairs.
- The tenant's claim is denied.

Are complaints worked out without a hearing?

They often are. In addition, many FRC decisions are themselves compromises. When rent complaints are driven by the landlord's failure to maintain the property, the commission will often reinforce the town's code enforcement agencies by preventing a rent increase while awaiting compliance with code orders. When a fair rent complaint is generated by poor housing conditions, commissions will often request a code agency to make an inspection.

How Many Towns Already Have Such Ordinances?

The FRC Act was adopted as an enabling act in 1969. FRC ordinances exist in 24 towns, of which 18 have populations greater than 25,000. FRC towns include:

Large cities (4): Hartford, New Haven, Stamford, Bridgeport

Mid-size cities (6): Norwalk, Danbury, New Britain, Manchester, Groton, Enfield

Suburbs (11): West Hartford, Hamden, Glastonbury, Newington, West Haven, Windsor, Wethersfield, Farmington, Simsbury, Rocky Hill, Bloomfield

Smaller towns (3): Colchester, Clinton, Westbrook

How expensive is a commission to the town?

Most towns that have fair rent commissions currently use existing staff to support a commission.

Why can't tenants just go to court?

With certain exceptions, Connecticut tenants have no right to challenge a rent increase except in a town with a fair rent commission. In the absence of a fair rent commission, a tenant who refuses to accept an increase can either move or risk eviction by refusing to pay the higher rent. Connecticut courts have no general authority to decide whether a rent increase is unconscionable or unfair.

Is this rent control?

No, it is completely different. It does not restrict rents generally and landlords remain free to charge whatever they want. It is triggered only by a tenant complaint and only by a showing by the tenant that the rental charge is "so excessive as to be harsh and unconscionable."







Fair Rent Commission FAQs

Last updated: 08.10.22

What is a fair rent commission?

A fair rent commission is a municipal board with the primary power to prevent rental charges in residential housing that are "so excessive, with due regard to all the circumstances, as to be harsh and unconscionable," Connecticut General Statutes (C.G.S.) 7-148c. It holds hearings and makes decisions in response to tenant complaints in the same way as other municipal boards. Under C.G.S. 7-148b through 7-148f, Connecticut law has, since 1969, authorized towns to adopt such boards by ordinance. P.A. 22-30 requires that every town with a population of 25,000 or more as of the last decennial census create such a commission.

How many towns presently have fair rent commission ordinances?

Twenty-four Connecticut towns already have fair rent commission ordinances, most going back at least 30 years. Eighteen of those towns have populations above 25,000 (six towns with commissions have fewer than 25,000 people). Twenty-seven towns with a population above 25,000 do not presently have an ordinance and are therefore directly affected by P.A. 22-30.

Which towns have fair rent commission ordinances?

Large cities (4): Hartford, New Haven, Stamford, Bridgeport

Mid-size cities (6): Norwalk, Danbury, New Britain, Manchester, Groton, Enfield Suburbs (11): West Hartford, Hamden, Glastonbury, Newington, West Haven,

Windsor, Wethersfield, Farmington, Simsbury, Rocky Hill, Bloomfield

Smaller towns (3): Colchester, Clinton, Westbrook

Which additional towns are required to create a fair rent commission under P.A. 22-30?

Waterbury	114,403	Wallingford	44,396	Branford	28,273
Greenwich	63,518	Southington	43,501	New Milford	28,115
Fairfield	61,512	Shelton	40,869	East Haven	27,923
Meriden	60,850	Norwich	40,125	New London	27,367
Bristol	60,833	Trumbull	36,827	Newtown	27,173
Stratford	52,355	Torrington	35,515	Westport	27,141
Milford	52,044	Naugatuck	31,519	So. Windsor	26,918
East Hartford	51,045	Vernon	30,215	Mansfield	25,892
Middletown	47,717	Cheshire	28,733	Ridgefield	25,033

To what extent do these 45 towns cover Connecticut's residential renters?

The 45 covered towns (about 27% of the state's 169 towns) have about 80% of all residential rental units in Connecticut.

By when must towns adopt their ordinances?

P.A. 22-30 requires that covered towns have their ordinances in place no later than July 1, 2023. There is, however, no need for towns to wait until 2023 to adopt an ordinance, since existing law has



long authorized towns to do so. P.A. 22-30 requires towns to notify the Commissioner of Housing within 30 days of adoption of its ordinance and provide the Commissioner with a copy of the ordinance.

Why can't tenants just go to court if they object to a rent increase?

Courts in Connecticut have no general power to adjudicate the fairness of rents or rent increases. With certain limited exceptions, tenants have a right to challenge the fairness of a rent increase only in a town that has a fair rent commission. In towns without fair rent commissions, the tenant can accept the rent increase or move. Tenants who refuse to pay the increase will face eviction.

What about tenants protected by "just cause eviction"?

In theory, elderly and disabled tenants who live in apartment buildings of five or more units are allowed to take a rent dispute to court. In practice, however, this almost never happens, because initiating a judicial proceeding is not practical for tenants, and especially not for tenants who are elderly or disabled. It is expensive and would be very difficult to do without an attorney. It is also not realistic for such tenants – many of whom are long-term renters with little capacity to move – to risk their tenancy by refusing to pay a rent increase and gambling that they can win an eviction. The risk of loss is extremely high. If the court doesn't agree with the tenant, the tenant is evicted. At that point, it is too late to save the tenancy by agreeing to pay the rent increase. Just the filing of a summary process action may also negatively impact the tenant's record, hindering their ability to secure future housing or credit.

How expensive are fair rent commissions?

Fair rent commissioners, like commissioners of most other local boards, are not paid. In most locations, towns use existing staff to provide whatever support for fair rent commissions is needed. While particularly large towns might consider adding an employee if large numbers of complaints are received, it is anticipated that medium-sized towns would not.

What are the necessary elements of a fair rent commission ordinance?

The primary necessity is that the ordinance should adopt the state Fair Rent Commission Act. Some towns do this by reference to the state statute (C.G.S. 7-148b through 7-148f). Some do it by copying the text of the state statute into the ordinance. Other than that, the only necessary elements are to identify (1) who appoints the members and (2) the number and terms of the commissioners.

How detailed are most ordinances?

The degree of detail varies widely. The practical difference is in how much is left to the commission to decide and how much is to be controlled by the ordinance. There appear to be two types of ordinances:

- Minimum ordinance: The ordinance contains only the necessary elements referred to above,
 i.e., adoption of the state statute by cross-reference or by copying the language of the state
 statute into the ordinance, identification of the appointing authority, and establish the number
 and terms of commission members.
- <u>Detailed ordinance</u>: The ordinance includes a more comprehensive framework for their fair rent commission. Such ordinances may include complaint-filing and hearing procedures, time deadlines, staffing (if any), appeal procedures, and other matters.

How large are fair rent commissions?

Existing fair rent commissions vary from 3 to 9 members. Most have either 5 or 7.

Who names the members of the commission?

The appointing authority is usually the municipal executive, particularly in the larger towns (sometimes with confirmation by the legislative body required) or the legislative body.

Do commission ordinances balance landlords and tenants?

The Fair Rent Commission Act leaves it to each town to decide on how to balance a fair rent commission. About half of the existing ordinances are silent on the question of balancing, leaving the matter to the appointing authority as to the balance of landlords, tenants, and neither (i.e., a homeowner who is not a landlord). Any mixture is acceptable. The other half of the ordinances require some degree of balance, usually in one of two ways:

- <u>An equal number of landlords and tenants</u>: This number might or might not be specified in the ordinance. Since all existing commissions have an odd number of members, this approach means that at least one member will have to be neither a landlord nor a tenant.
- A minimum number of landlords and tenants: Some ordinances include a specific number. For
 example, a five-member commission could be required to have at least two landlords and two
 tenants. In that case, the fifth member could be a landlord, a tenant, or a non-landlord
 homeowner.

Unless the ordinance requires a specific or a minimum number of landlords and tenants, it is possible for a commission to be made up entirely of non-landlord homeowners.

Are commission members required to have special expertise?

No. The background of members is left to the municipal appointing authority. It is expected that it will be possible to obtain member training if it is desired.

Is there a required political party distribution?

Yes, fair rent commissions are government agencies subject to the Minority Party Representation statute (C.G.S. 9-167a), which limits the maximum number of members of a board who can be registered in the same political party.

Are fair rent commissions advisory only?

No, they have the power to make binding decisions, in the same manner that other municipal boards can make binding decisions. Most fair rent commissions, however, encourage conciliation of disputes, and most fair rent complaints are resolved without the need for a formal hearing. The very existence of a fair rent commission often generates a bargaining process that results in agreements between the landlord and the tenant.

What is the legal standard that fair rent commissions apply?

Under C.G.S. 7-148c, a rental charge must be **"so excessive, with due regard to all the circumstances, as to be harsh and unconscionable."** That statute also requires the commission to consider 13 "circumstances" "as are applicable." Under C.G.S. 47a-23c, a rental increase involving a tenant who resides in a building with five or more units and who is either sixty-two years of age or older or disabled must be "fair and equitable."

What are those standards?

Fair rent commissions do not treat all 13 numbered circumstances as equally important. The literal wording of some of the circumstances, as originally written in 1969, may at times seem a bit

dated. In practice, the primary circumstances are usually the size of the rent increase, the landlord's costs, and the condition of the premises. The 13 circumstances can be grouped into these six categories. The numbers in the parentheses are the numbers they are given in the statute.

• Size and history of rent increases

• The amount and frequency of increases in rental charges (#12).

Landlord operating costs

- The amount of taxes and overhead expenses (#7).
- Condition of the premises, including whether the premises are substandard:
 - Whether the accommodations are in compliance with the ordinances of the municipality and general statutes relating to health and safety (#8);
 - The sanitary conditions existing in the housing accommodations in question (#2);
 - Repairs necessary to make such accommodations reasonably livable for the occupants accommodated therein (#6);
 - Whether, and the extent to which, the income from an increase in rental charges has been or will be reinvested in improvements to the accommodations (#13);
 - Damages done to the premises by the tenant, caused by other than ordinary wear and tear (#11).

Comparable rents in the neighborhood and municipality:

• The rents charged for the same number of rooms in other housing accommodations in the same and in other areas of the municipality (#1).

• Facilities and services included in the rent

- The size and number of bedrooms contained therein (#5);
- The availability of utilities (#10);
- The numbers of bathtubs or showers, flush water closets, kitchen sinks and lavatory basins available to the occupants thereof (#3);
- Services, furniture, furnishings and equipment supplied therein (#4).
- Income of the tenant and the availability of places to which the tenant can move
 - The income of the petitioner and the availability of accommodations (#9).

Can a commission consider other circumstances?

Yes. The statute does not preclude consideration of other circumstances if they are relevant to the statutory standard of harsh and unconscionable.

Can a town make up its own standard?

No. The statutory standard is mandatory.

Is there a formula for weighing the factors?

No, it is within the discretion and judgment of the commission.

How are these circumstances proven to the commission?

It is usually up to the parties to provide the commission with evidence upon which it can base a decision. If, however, a tenant objects to a rental charge based on the condition of the premises, it is common for a commission to request that a town health or safety inspector (e.g., a housing, building, or fire code official) inspect the premises in the same manner as if a complaint had been made with the agency by the tenant.

If the commission finds that rental charges are harsh and unconscionable, what can it do?

It can limit the rent to an amount that is "fair and equitable." In setting that amount, C.G.S. 7-148d requires that the same 13 circumstances be applied.

What commission orders are most common?

Commissions have considerable discretion to fashion a result that is fair and equitable. For example, a commission can:

- Reduce the rent increase or rental charge to an amount that is fair and equitable.
- Phase in a rent increase over time.
- Condition a rent increase or lower a rental charge until the landlord complies with housing code orders or other property maintenance standards. In this way, when health and safety issues are raised, commissions can buttress health and safety code enforcement.

A commission can also deny relief to the tenant (i.e., by holding that the rental charge is not harsh and unconscionable).

Can a complaint be filed about charges other than the monthly rent?

Yes. C.G.S. 7-148b(a) explicitly provides that "rental charge" includes "any fee or charge in addition to rent."

Can a tenant file a complaint when there is no rent increase?

Yes. The requirement for fair rent commission jurisdiction is that the rental "charge" must be "so excessive" as to be "harsh and unconscionable." There are at least two types of circumstances where this may apply in the absence of a rent increase. One is when the services provided by the landlord have been reduced. For example, the landlord could provide that utilities once paid by the landlord will in the future be switched to the tenant with no adjustment of the rent. This is functionally a rent increase. The other is that the building has been allowed to deteriorate because of lack of landlord maintenance to the point that the rent has become significantly out of balance with what the tenant is receiving.

Who bears the burden of proof?

The tenant bears the burden of proof that the rental charge is harsh and unconscionable. On other issues, it will depend on who has access to the information.

Can't a tenant go to the housing court to resolve a fair rent complaint?

No. Courts can enforce rights, but, with narrow exceptions (see just cause eviction below), the right to challenge rent increases exists only for tenants in towns with fair rent commissions.

Can the parties be represented by attorneys?

They can be, but often they are not. As with other administrative agencies, a certain amount of structure and formality is required at hearings, but hearings are ordinarily much less formal than court hearings. Testimony, however, is under oath.

Can the commission group cases complaining about the same rent increase?

Yes. For purposes of the hearing, if multiple dwelling units in the same building or complex complain about the same rent increase, the commission can group them for hearing. It will, however, have to decide each case individually.

What rent do tenants pay while the complaint is pending?

The Fair Rent Commission Act is silent on this question, and different commissions have different practices. We believe that the only proper answer is that the tenant should pay the last agreed-upon rent or the amount of the last rent prior to the increase complained of.

The Fair Rent Commission Act speaks of rent being paid into escrow. Do most tenants pay rent to the commission in escrow?

No. Rent escrows are rare. The Fair Rent Commission Act mandates the use of a municipal escrow account only if the commission suspends the payment of <u>all</u> rent while waiting for the landlord to comply with orders to make repairs required by the code agency. The tenant instead would continue to pay the landlord either the last agreed-upon rent, the last rent before a disputed rent increase, or some other interim rent set by the commission.

Are the commission hearings public?

Yes, commissions are municipal agencies and are subject to the state Freedom of Information Act. Both the hearing itself and the commission deliberation are open to the public to observe. Members of the public cannot speak, however, unless they are called as witnesses.

When does a commission make its decision?

It depends, and different commissions may have different practices. Once the hearing is completed, commissions often move directly into the decision portion of the meeting so as to be able to decide the case the same day. Sometimes, however, a decision may have to wait for a subsequent event (e.g., a housing code inspection), if it was not arranged before the hearing.

Who gets to testify at a fair rent commission hearing?

Each commission sets its own procedures. Fair rent commission hearings are usually run like other administrative hearings. They are less formal than court hearings but must still be orderly and structured. The parties can each testify and can call witnesses. Anyone testifying can be questioned by commission members or by the parties themselves (or by their representative, if they have one). The tenant (who is the complainant) and the tenants' witnesses usually go first, after which the landlord and the landlord's witnesses would usually testify. Testimony is ordinarily under oath. The commission can also hear testimony from other witnesses with relevant information (such as a municipal housing code inspector who has inspected the property), even if not called by a party. Members of the public do not have a right to testify at their own initiative.

Are expert witnesses required?

No. The parties, or other individual witnesses, can testify as to matters within their own knowledge. For example, the tenant or the landlord can testify as to the condition of the premises, the history of past rent increases, or other rents in the neighborhood. If testimony is in conflict, it is up to commission members to decide whom to believe. On some objective matters, however, evidence may be needed. For example, a landlord who claims to be losing money without a large rent increase may be expected to present documentary evidence of income and expenditures.

Do fair rent commissions need an appraiser?

No. Commissions deal with rentals, not home purchases. More important, if comparative rents are an issue, it is up to the parties to bring such comparables to the attention of the commission.

Do the parties have to testify?

As a practical matter, the tenant must testify or otherwise provide evidence, since the tenant must show that the rental charge is harsh and unconscionable. The commission's decision must be based on the evidence before it. If the landlord does not appear at the hearing or otherwise provide testimony, the commission must make its decision based on what the tenant or other witnesses provide.

Does evidence have to be documented in writing?

Not necessarily. The testimony of the parties themselves is evidence.

What if a party refuses to produce written evidence of something important, like the property's revenue and costs, or the tenant's income?

To some extent, it depends on the relevance of the evidence. For example, if a landlord claims that a rent increase is necessary to cover increased costs but refuses to provide the commission with a breakout of income and expenditures, the commission could assume that the increase is not necessary to cover costs. It would, however, still need to consider other factors affecting the fairness of the increase. Similarly, if a tenant claims to have insufficient income to pay a rent increase but refuses to disclose income, the commission could assume that the tenant could afford the increase but would still have to consider other reasons why the increase might be unconscionable. The commission also has the power to subpoena information if it chooses to do so.

Should commission hearings be recorded?

Yes. There is no need for a stenographer or a transcript, but a recording can be necessary if a decision is appealed to the courts.

Can the parties appeal?

Yes, either party can appeal to the courts. In more than 50 years of fair rent commissions, however, only a small number of appeals have ever been taken.

Can a fair rent commission protect a tenant against retaliation?

Yes. C.G.S. 7-148d(b) explicitly authorizes the issuance by the commissioner of a cease and desist order to prevent retaliation. C.G.S. 7-148f explicitly authorizes fines for violating orders of a commission.

Can a landlord proceed with an eviction against a tenant who has filed a complaint with a commission?

A commission can issue a cease and desist order to stop retaliatory behavior by a landlord. If, however, the landlord disobeys such an order, only a court can stop further proceedings in the court. If properly presented, it is expected that a court would do so.

Can new tenants get a rent reduction by claiming that the rent is too high?

No. Fair rent commissions apply only to tenants, not applicants. A person must already be living in the dwelling unit to be able to complain about an unconscionable rent. In practice, fair rent commissions provide a mechanism that can protect existing tenants. In that sense, they have the capacity to help "stabilize" the rent for tenants who are already in place.

How do fair rent commissions differ from rent control?

They are entirely different. Fair rent commissions respond to cases from individual renters and apply an equitable unconscionability standard to address particularly unfair situations. Rent control, in

contrast, regulates the rents in the entire housing market. Rent control systems usually authorize an annual inflation adjustment (e.g., 3%) by which landlords can raise the rent without need for approval, but they require a showing of justification and permission for rent increases above that level. The market impact of rent control is quite substantial. Fair rent commissions do not have the same impact on the housing market.

How is a fair rent commission different from a housing authority?

A housing authority manages or builds government-owned public housing. A fair rent commission is a local board that responds to complaints from renters about excessive rental charges.

What is the connection between fair rent commissions and housing code enforcement?

For some towns, the impact of a fair rent commission on code enforcement is at least as important as addressing the fairness of rent increases. It is not unusual for a fair rent commission to delay a proposed rent increase, or even lower an existing rent, until the landlord brings the apartment into compliance with the enforcement orders issued by the town's housing or health code agency. In this way, fair rent commissions often support municipal code enforcement and avoid the need for the town to go to court to enforce code orders.

Are any types of housing excluded from fair rent commissions?

The only exclusion explicitly permitted by the Fair Rent Commission Act is for "seasonal" rentals, which are defined as short-term rentals cumulating less than 120 days per year. However, there are some other arrangements that may be excluded by other laws. For example, arrangements that are not subject to the Landlord-Tenant Act under C.G.S. 47a-2 are ordinarily not covered (e.g., nursing homes or transient occupancy in a hotel or motel).

Can towns choose to exclude additional categories of rentals?

No. Exclusions are limited to those contained in the Fair Rent Commission statute itself unless preempted by other laws.

Are college dormitories covered?

No. They are excluded from the Landlord-Tenant Act by C.G.S. 47a-2(a)(1). Student-occupied apartments, however, are covered.

Are mobile home parks covered by fair rent commissions?

Yes, they are explicitly covered by C.G.S. 7-148b(b). In mobile home parks, most residents own their home but rent the lot. They are therefore renters and are covered by all of the landlord-tenant laws, including the Fair Rent Commission Act. In fact, residents of mobile home parks have often been the driving force behind the creation of fair rent commissions in smaller towns. That was the case in Westbrook, Colchester, and Clinton.

Can two or more towns create a regional fair rent commission?

Yes. This is explicitly permitted by C.G.S. 7-148b(d).

	Town of Newtown					PAGE 1 OF
2021-22	Fiscal Year End Budget Tra	nsfer Request				
GROU	IP INSURANCE ACCT		TRAN	SFERS		
ORGANIZATION	ORGANIZATION TITLE	ACCOUNT	FROM	TO		
101-12-310-0000	POLICE	5210	1,750.61			
101-11-150-0000	PURCHASING	5210	3,696.78			
101-13-500-0000	HIGHWAY	5210		5,104.96		
101-11-190-0000	ASSESSOR	5210		101.04		
101-25-230-0000	T.H.B.O.M.	5210		90.20		
101-11-140-0000	TAX COLL	5210		68.89		
101-11-110-0000	SOCIAL SVS	5210		65.18		
101-11-100-0000	SELECTMEN	5210		12.68		
101-11-108-0000	HUMAN RES	5210		4.44		
			5,447.39	5,447.39		
EXPLANATION:	Inter-account transfer. A					
	change mid fiscal year wh		s age changes.	Enough budge	t amounts in	
	account to make transfer	•				
RETIREMEN	NT CONTRIBUTIONS A	CCT	TRANSFERS			
ORGANIZATION	ORGANIZATION TITLE	ACCOUNT	FROM	<u>TO</u>		
101-11-108-0000	HUMAN RES	5230	1.07			
101-11-110-0000	SOCIAL SVS	5230	4.97			
101-11-150-0000	PURCHASING	5230	3,748.12			
101-11-170-0000	TOWN CLERK	5230	7.42			
101-11-190-0000	ASSESSOR	5230	36.13			
101-11-200-0000	FINANCE	5230	11.53			
101-11-205-0000	TECH	5230	1,686.86			
101-14-220-0000	SENIOR SVS	5230	44.15			
101-12-300-0000	ECC	5230	1,361.82			
101-12-320-0000	FIRE	5230	266.41			
101-12-340-0000	ANIMAL CONTROL	5230	150.27			
101-14-370-0000	HEALTH DIST	5230	7,099.00			
101-12-460-0000	BUILDING OFFICIAL	5230	191.36			
101-15-490-0000	LAND USE	5230	69.76			
101-16-550-0000	P & R	5230	2,474.02			
101-13-650-0000	BLDG MAINT	5230	1,640.00			
101-12-310-0000	POLICE	5110	29,015.48		POLICE SALAR	IES ACCOUNT
101-12-310-0000	POLICE	5230		32,120.95		
101-14-410-0000	CHILD ADVENT. CTR.	5230		3,534.98		
101-13-500-0000	HIGHWAY	5230		11,164.32		
101-13-515-0000	TRANSFER STA	5230		485.28		
101-14-670-0000	LIBRARY	5230		502.84		
			47,808.37	47,808.37		
EXPLANATION:	Inter-account transfer. A					
		· 1			1	ınt
	amounts can change mid needed (due to new offic					

	Town of Newtown			
2021-2	2 Fiscal Year End Budget Transfer	Request		PAGE 2 OF 4
			_	
Department	Account Number	Account Name	Tran From	sters To
Selectmen - Other	101-11-105-0000-5443	Copier Leasing	3,000	10
Selectmen - Other	101-11-103-0000-3443	Advertising	1,600	
Selectmen - Other	101-11-105-0000-5531	Postage	1,000	4,600
Selectifien - Other	101-11-103-0000-3331	Fostage	4,600	4,600
			1,000	1,000
EXPLANATION:	Inter-department transfer. Po	stage over due to redistricting i	notices.	
Danastmant	Account Number	Account Name	Tran	
<u>Department</u>	Account Number 101-24-570-0000-5899	Account Name	From 3.500	<u>To</u>
Contingency Human Resources	101-24-370-0000-3899	Contingency Professional Services	2,500	2 500
numan kesources	101-11-108-0000-5510	Professional Services	2,500	2,500 2,500
			2,500	2,300
EXPLANATION:	Overage due to new employee	e pre-employment exams (more	than usual hires).	
	o realization of the second of			
			Tran	sfers
<u>Department</u>	Account Number	Account Name	<u>From</u>	<u>To</u>
Outside Agencies	101-14-415-0000-5820	Contributions	3,600	
Probate Court	101-11-255-0000-5310	Professional Services		3,600
			3,600	3,600
EXPLANATION:	Probate court hudget amount	is an estimate. Probate court p	navment request is at	
EXI EXIVATION.		esenting actual expenditures. T		
	some capital expenditures (off		ins year there were	
		,		
			Tran	sfers
Department	Account Number	Account Name	<u>From</u>	<u>To</u>
Police	101-12-310-0000-5110	Salaries	5,702	
Police	101-12-310-0000-5130	Overtime		5,702
			5,702	5,702
EVEL ANIATION			1	
EXPLANATION:	Inter-department transfer. Ad	dition overtime necessary due	to vacancies.	
			Tran	sfers
Department	Account Number	Account Name	From	То
Fire	101-12-320-0000-5115	Parttime	4,500.00	
Fire	101-12-320-0000-5310	Professional Services	4,500.00	
Fire	101-12-320-0000-5435	Radio & Pager Service	1,131.94	
Fire	101-12-320-0000-5412	Fire Hydrands		1,085.02
Fire	101-12-320-0000-5623	Energy - Electricity		1,507.55
Fire	101-12-320-0000-5290	Other Employee Benef	fits	4,150.29
Fire	101-12-320-0000-5621	Natural Gas		3,389.08
EVELANI: TOO!			10,131.94	10,131.94
EXPLANATION:	Inter-departmental transfer.	Typical rebalancing of Fire Comr	mission accounts.	

	Town of Newtown			
2021-2	2 Fiscal Year End Budget Transfer F	Poguest		PAGE 3 OF 4
2021-24	z riscai feai Ella Bauget Hallslei r	request		
			Tran	efore
Department	Account Number	Account Name	From	To
Land Use	101-15-490-0000-5110	Salaries	17,500	<u>10</u>
Land Use	101-15-490-0000-5110	Legal	17,500	17,500
Land OSE	101-15-450-0000-5550	Legai	17,500	17,500
			Tran	
<u>Department</u>	Account Number	Account Name	From	To
Parks & Recreation	101-16-550-0000-5115	Parttime	10,150	10
Parks & Recreation	101-16-550-0000-5615	Maintenance Supplies	400	
Parks & Recreation	101-16-550-0000-5749	Capial Outlay	130	
Parks & Recreation	101-16-550-0000-5749	Overtime	150	10 150
Parks & Recreation	101-16-550-0000-5610			10,150 530
Parks & Recreation	101-10-550-0000-5610	General Supplies	10.600	
			10,680	10,680
EVEL ANIATION:	Lutar danasturant turnafan Ada	 	<u> </u>	
EXPLANATION:	Inter-department transfer. Add	iitionai iegai expenses required	for warehouse case.	
				•
			Tran	
<u>Department</u>	Account Number	Account Name	From	<u>To</u>
Bldg. Maint.	101-13-650-0000-5622	Energy - Electricity	750	
Bldg. Maint.	101-13-650-0000-5624	Energy - Oil		750
			750	750
EXPLANATION:	Inter-department transfer. Am	ount immaterial (regarding ener		of o vo
	A		Tran	
<u>Department</u>	Account Number	Account Name	<u>From</u>	<u>To</u>
Econ & Comm Dev	101-11-740-0000-5210	Group Insurance Social Sec Contri	300	
Econ & Comm Dev	101-11-740-0000-5220		75	275
Econ & Comm Dev	101-11-740-0000-5301	Fees & Prof Services	275	375
			375	375
EVOLANIATION!				
EXPLANATION:	Inter-department transfer. Am	ount immaterial.		
			Tran	
<u>Department</u>	Account Number	Account Name	From	<u>To</u>
Selectmen	101-11-100-0000-5290	Other Employee Ben	570.00	
Selectmen	101-11-100-0000-5611	Office Supplies	132.00	
Selectmen	101-11-100-0000-5580	Dues, Travel		702.00
			702.00	702.00
			•	

	Town of Newtown					24054054
2021-22	Fiscal Year End Budget Tra	nsfer Reques	t			PAGE 4 OF 4
		1				
					Tran	sfers
<u>Department</u>	Account Number		Account Nam	<u>e</u>	<u>From</u>	<u>To</u>
Various Departments	See Attached-				819,856.02	
Other Financing Uses	101-25-860-0000-5870	Capital	& Non-recurring T	ransfer Out		819,856.02
					819,856.02	819,856.02
	Designated for:	AU 16 A B				24 000 00
		NUSAR ope	rational boat			21,000.00
			Carlanaini			
			Center reimbu	rsement for		40 500 00
		soffit rep	oairs.			48,500.00
			Openstians Car	nton mouse LIDC		20,000,00
		Emergency	Operations Cer	iter new UPS		28,000.00
		Dublic Worl	। ks new supervis	or truck		40,000.00
		Public Worl	s new supervis	Tuck		40,000.00
		Monitoring	wells at Fairfie	⊥ ld Hills (per DEE	:D)	48,000.00
		Ivioriitoring	Wells at Fairfie	Tims (per DEL	<i>)</i>	48,000.00
		Sandy Hook	district contrik	ution		7,500.00
		Sarray 11001				7,300.00
		Dodgingtown Fire Co. reimbursement for re		repair of	7,800.00	
		Town ow	ned vehicle			7,000.00
		"Boot Camp	" payroll traini	oll	8,000.00	
			e (started July 2			,
		. ,	,			
		Repair vand	lalism damage	to H.S. turf proj	ect	25,000.00
	Undesignated					586,056.02
						819,856.02
	EXPLANATION:					
	Amounts available due m	nainly to unfil	led positions.			
					_	
GRAND TOTAL					Tran	sfers
					<u>From</u>	<u>To</u>
					929,652.72	929,652.72

TRANSFER TO CAP NON REC DETAIL

ORGANIZATION	ACCOUNT	DEPT	BALANCE	Sub-Total	
SAI	ARIES - REGI	JLAR			P. 1 OF 3
101-12-320-0000	5110	FIRE	1,101.56	-	
101-12-300-0000	5110	ECC	1,399.57		
101-14-220-0000	5110	SENIOR SVS	1,415.81		
101-11-750-0000	5110	GRANTS ADMIN	1,594.01		
101-11-140-0000	5110	TAX COLL	2,008.34		
101-11-205-0000	5110	TECH	7,466.92		
101-11-200-0000	5110	FINANCE	9,111.90		
101-11-150-0000	5110	PURCHASING	12,275.57		
101-11-170-0000	5110	TOWN CLERK	18,983.74		
101-12-460-0000	5110	BUILDING OFFICIAL	22,490.65		
101-16-550-0000	5110	P & R	26,143.68		
101-11-190-0000	5110	ASSESSOR	27,158.12		
101-13-650-0000	5110	BLDG MAINT	29,805.17		
101-13-515-0000	5110	TRANSFER STA	47,598.24		
101-13-500-0000	5110	HIGHWAY	49,220.57		
101-12-310-0000	5110	POLICE	180,000.00	437,773.85	
CAL	ADJEC DADE	TINAF			
	ARIES - PART				
101-11-180-0000	5115	REGISTRARS	4,884.93		
101-11-140-0000	5115	TAX COLL	4,998.00		
101-16-550-0000	5115	P & R	20,258.03	30,140.96	
SAL	⊥ ARIES - SEAS(ONAL			
101-16-550-0011	5117	P & R	6,400.00		
101-16-550-0013	5117	P & R	6,578.24		
101-16-550-0012	5117	P & R	7,261.87		
101-11-180-0000	5117	REGISTRARS	8,853.25		
101-16-550-0014	5117	P & R	29,447.95	58,541.31	
	ARIES - OVER				
101-13-515-0000	5130	TRANSFER STA	1,255.89		
101-11-140-0000	5130	TAX COLL	3,501.41		
101-13-650-0000	5130	BLDG MAINT	3,705.34		
101-12-300-0000	5130	ECC	9,213.17	17,675.81	
SO	CIAL SEC CO	NTRI			
101-11-150-0000	5220	PURCHASING	1,753.99		
101-11-140-0000	5220	TAX COLL	1,998.27		
101-11-170-0000	5220	TOWN CLERK	2,269.77		
101-11-180-0000	5220	REGISTRARS	2,479.44		
101-11-200-0000	5220	FINANCE	2,505.31		
101-12-460-0000	5220	BUILDING OFFICIAL	2,761.58		
101-15-490-0000	5220	LAND USE	2,885.54		
101-13-650-0000	5220	BLDG MAINT	2,970.83		
101-11-205-0000	5220	TECH	3,363.00		
101-11-190-0000	5220	ASSESSOR	3,912.31		
101-13-515-0000	5220	TRANSFER STA	5,041.12		
101-16-550-0000	5220	P & R	9,147.29		

TRANSFER TO CAP NON REC DETAIL

ORGANIZATION	ACCOUNT	DEPT	BALANCE	Sub-Total	
101-13-500-0000	5220	HIGHWAY	9,482.21		
101-12-310-0000	5220	POLICE	25,696.77	76,267.43	P. 2 OF 3
OTH	ER EMPL BEN	EFITS			
101-13-500-0000	5290	HIGHWAY	1,342.05		
101-12-300-0000	5290	ECC	2,000.00		
101-16-550-0000	5290	P & R	1,942.80		
101-12-340-0000	5290	ANIMAL CONTROL	2,195.21		
101-13-515-0000	5290	TRANSFER STA	2,807.57	10,287.63	
	& PROF SER				
101-11-110-0000	5301	SOCIAL SVS	1,290.87		
101-13-500-0000	5301	HIGHWAY	3,750.00		
101-11-205-0000	5301	TECH	13,245.72		
101-12-330-0000	5310	EMERG MGT	2,300.00	24 406 50	
101-15-490-0000	5340	LAND USE	910.00	21,496.59	
\\/.	 ATER/SEWER/	A C E			
101-13-650-0000	5411	BLDG MAINT	12 002 07	12 002 07	
101-13-050-0000	5411	BLDG WAINT	12,983.97	12,983.97	
REDAIR S	MAINTENA	NCE SERV			
101-12-320-0000	5430	FIRE	3,109.29		
101-12-520-0000	5430	BLDG MAINT	7,882.90	10,992.19	
101 13 030 0000	3430	DEDG WINNING	7,002.30	10,332.13	
REN	TAL OF EQIPN	ИENT			
101-12-300-0000	5442	ECC	3,043.97	3,043.97	
SOFTWAR	 RE/HARDWAR	E MAINT F			
101-11-205-0000	5445	TECH	2,107.76		
101-12-310-0000	5445	POLICE	2,653.23	4,760.99	
		. 01.01		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
OTHER	PURCHASED S	SERVICES			
101-12-310-0000	5501	POLICE	1,494.43	1,494.43	
				·	
CONT	RACTUAL SEI	RVICES			
101-13-650-0000	5505	BLDG MAINT	1,122.58		
101-13-500-0000	5505	HIGHWAY	1,383.29		
101-16-550-0000	5505	P & R	6,576.83		
101-12-330-0000	5505	EMERG MGT	3,800.58		
101-13-510-0000	5505	WINTER MAINT	6,619.44		
101-15-490-0000	5505	LAND USE	3,594.84	23,097.56	
INICLIDAA	ICE OTUENT	LIANI ENAD			
	ICE, OTHER T		1 400 00		
101-14-442-0000	5520	NEWTOWN PARADE	1,400.00	6 E1C 00	
101-11-350-0000	5520	INSURANCE	5,116.89	6,516.89	

TRANSFER TO CAP NON REC DETAIL

ORGANIZATION	ACCOUNT	DEPT	BALANCE	Sub-Total	
DUES,	TRAVEL & EDU				
101-12-300-0000	5580	ECC	1,034.23		P. 3 OF 3
101-11-150-0000	5580	PURCHASING	2,077.56		
101-13-500-0000	5580	HIGHWAY	2,568.11		
101-16-550-0000	5580	P & R	1,621.79		
101-11-110-0000	5580	SOCIAL SVS	3,976.16		
101-11-205-0000	5580	TECH	5,748.65	17,026.50	
	OFFICE SUPPLI	ES			
101-11-205-0000	5611	TECH	7,004.83	7,004.83	
	SUPPLIES				
101-16-550-0000	5614	P & R	2,361.72		
101-16-550-0000	5616	P & R	2,102.03	4,463.75	
ENER(GY - GASOLINE	/DIESAL			
101-13-500-0000	5625	HIGHWAY	11,296.08	11,296.08	
	STREET LIGHT	S			
101-13-500-0000	5626	HIGHWAY	7,535.96	7,535.96	
	TREATED SAL	T			
101-13-510-0000	5661	WINTER MAINT	1,009.32	1,009.32	
MACH	& EQUIP - TECI	ANOLOGY			
	-		0.042.47	0.042.47	
101-11-205-0000	5744	TECH	8,043.47	8,043.47	
	CAPITAL OUTL	AY			
101-12-320-0000	5749	FIRE	8,463.50		
101-13-500-0000	5749	HIGHWAY	22,353.53	30,817.03	
OT	HER EXPENDIT	URFS			
101-12-310-0000	5800	POLICE	1,085.50		
101-12-310-0000	5803	DISTRICT CONTRI	7,500.00	8,585.50	
101 11 730 0000	3003	DISTRICT CONTIN	7,500.00	0,303.30	
CONTR	RIBUTIONS TO	OUTSIDE			
101-14-415-0000	5820	OUTSIDE AGENCIES	9,000.00	9,000.00	
		GRAND TOTAL		819,856.02	
	0/ DEI	ATING TO CALADY AND	DENIFFITS	770/	
	% KEL	ATING TO SALARY AND	BEINEFIIS	77%	

3 PRIMROSE STREET, TOWN HALL

NEWTOWN, CONNECTICUT 06470

TEL. (203) 270-4221 / FAX (203) 270-7935



ROBERT G. TAIT

FINANCE DIRECTOR

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OFFICE OF THE FINANCE DIRECTOR

****** 2023 - 2024 BUDGET TIME TABLE *******

October 21, 2022	Departments Receive Budget Instructions.
December 16, 2022	Completed Budget Forms to the Finance Director.
December 19 – January 06, 2023	First Selectman/Finance Director budget review with departments
January 17 – February 03, 2023	Board of Selectmen review First Selectman's recommended budget
February 06, 2023	Board of Selectmen & Board of Education submit Budget to the Board of Finance (Per charter no later than the 14 th)
February 16, 2023 (Thursday)	Board of Finance holds a public hearing on the Board of Selectmen and Board of Education proposed Budgets (per charter no later than March 2 th). (Publish 5 days prior to hearing: 2/10/23)
March 01, 2023	Board of Finance submits Budget to the Legislative Council (Per charter no later than March 14 th).
March 15, 2023 (Wednesday)	Legislative Council holds a Public Hearing on the Proposed Board of Finance Recommended Budget (Per charter no later than March 30th). (Publish 5 days prior to hearing: 3/10/23)
April 05, 2023	Legislative Council Adopts Budget (no later than second Wednesday in April)
April 25, 2023 (Tuesday)	Annual Budget Referendum (4 th Tuesday of April) (Publish 4/14/23)